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Publisher: January, 1970.

Description: 125 leaves ; 28 cm.

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Thesis (M.A.) Queens College. Department of Sociology.

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DIAGNOSING ORGANIZATIONS

Methods, Models, and Processes



Michael I. Harrison

Applied Social Research Methods Series

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te about conducting a diagnosis—tonics mathods

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Using the Open System Model

A model of coglinizations as open systems is presented that can help practitioners choose topics for diagnosis, develop critera for assessing organizational effectiveness, and decide what steps, if any, will help clients sive problems and enhance organizations of the step of the companies o

THE ORGANIZATION AS AN OPEN SYSTEM

The open systems approach provides practitioners with an abstract model that is applicable to any kind of organization and to divisions or departments within them (Beer, 1980; Hall, 1982; Katz and Kahn, 1978; Kotter, 1978; Miles, 1980; Nadler & Tushman, 1980). One useful version of this model is shown in Figure 1.

System Elements

Here are the main elements in the model and their key subcomponents:

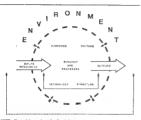
Inputs (or resources)—This includes the raw materials, money, people ("human resources"), information, and knowledge that an organization obtains from its environment and that contribute to the creation of

its outputs.

Outputs—This includes the products, services, and ideas that are the outcomes of organizational action. An organization transfers its main outputs back to the environment and uses others internally.

Technology—This includes the methods and processes for transforming resources into outputs. These methods may be mental (e.g., exercising medical judgment), as well as physical (e.g., drug therapy), and mechanical (e.g., computerized data processing).

23



NOTE. Dotted lines show feedback loops

Figure 1: Organizations as Open Systems

Eminiment—The Tink Environment includes all the external organizations and conditions that are directly related to an organization in an operations and its technologies. They include suppliers, surrous, contomers, clears in guidance, composition, markets for products and content, clears in a product of the content of the technologies. The General Environment studiest immunitions and coditions that may have infloquent or long-term suspects on the organzation and its task environment, including the economy, the legal visition, the content of the content is an electrical knowledge score and actitions are also as the content of the charact knowledge score in actitions are also as the content of the charact knowledge score in actitions are also as the content of the charact knowledge score in actitions are also as the content of the charact knowledge score in actition of the content of the character of the companies of t

Purpose—This includes the strategine, goals, objectives, plans, and interests of the organization's dominant decision makers. Strategine are overall, routes to goals, including ways of detailing with the environment (e.g. strategy for expanding operations into the construction bismess); goods are desired end states (e.g., becoming the leading construction firm in the South), whereas objectives are specific targets and indicators of soal statisment (e.g., 5% growth ner vear.) Plans associé courses of soal statisment (e.g., 5% growth ner vear.) Plans associé courses of

action toward some end Purposes may be explicit or implicit in the decision makers' actions. They are the outcomes of conflict and negotiation among powerful parties within and outside the organiza-

Rehender and processes. This includes the prevailing patterns of behaviors interactions, and relationships between groups and individuals including cooperation, conflict, coordination, communication, corolling and rewarding behavior, influence and power relations, supervision, leadership, decision making, problem tolving, planning, goal setting, information gathering, self-criticism availuation, and group

Culture—This includes shared norms, beliefa, values, symbols, and rituals relating to key aspects of organizational life, such as the nature and identity of the organization, the way work is done, the value and possibility of changing or innovating, and relationships between lower and higher ranking members.

Swearner—This includes endoring relations between individuals, groups, and larger outs including red assignments (pd descriptions, authority, responsibility privileges attached to portionals, grouping of positions in dividences, departments and other witti, standard operating procedures established mechanisms for handing key processes each as confidentiation (e.g., constitutes, weekly meeting), human such as confidentiation (e.g., former test, weekly meeting), human department of the processes of the processes and as confidentiation (e.g., former all relations, chapter, outside patterns (e.g., informal relations, chapter, outsidened, power distribution) that may differ from officially annotated medically annotated me

Key Features of the Model

The model contains several important ideas for diagnosis

- (1) Exernal conditions furtheres the foun of unpast fressures) to expensions, affect the response of quartue, and can durinty differ terminal operations—for transturce when regulatory against a deltar production and a standards. Figure 1 deposits as fraction, presented to consider the contract of t
- (2) Organizations use many of their products, services, and ideat as inputs to organizational maintenance or growth (as shown in Figure 1 by the feedback loop within the organizational boundary). A computer firm, for example, may use its own machines and software, and a nunversity may emoly its doctoral students as instructors. The



human consequences of work—including members' satisfactions with the quality of their working life and their motivations to contribute to the organization—are another form of output that has important internal imports (see Chapter 3).

- (3) Organizations or refluenced by their nombres as sell as the ensurance many. The employees and cleans the next are no agramation may contribute to its operations, result them, or change them from within In organizations in which the ramp by movbes deducating, cleanlying, or training people, the same people who enter it are tulmentally or wiser While them telesan are bought result of receiving service, they may change or shape the very practices that were designed to efforts on the contribution of them. Current values and standards increasingly are managers to consider what is good for their employees and cleans a manufacture for the contribution of them.
- (6) The eight symme doments and their altrosuposones are internated and influence one analysis An organization's culture and structure affect members behavior, but their behavior also shapes the structure and the culture. Environments shape purposes, but organizational also shape their environments affect purposes, but organizational and shape that environments. Practitioners should therefore be on the culture favorable that the structure and the shape of the culture favorable that their proposes of the structure of the control of the culture favorable that favorable that places more as with the culture favorable culture and control of the culture favorable culture f
- shape objectives as well as responding to them (S) Organizations are constantly changing as relationships among their system elements shift.1 An organization's responses to internal and external changes depend on members' interpretations of these changes and their decisions about how to deal with them. Information about internal and external developments flows through both official and unofficial channels. Small changes in one part of the system may not require more than routine adjustments in other elements, but major changes in one element can set off a series of changes in others. For instance, if a firm hires people with somewhat less training than past recruits, current procedures for placing and training new employees may still be used with slight adjustments. On the other hand. If the firm sets up a branch overseas and begins to hire people who have radically different backgrounds than those employed at home major shifts may be needed in the technology, structure, and processes in order to adapt to the employees' skills, experience, and work styles
- The assumption that systems seek a state of "balance" has been widely criticized (e.g., Abrahamsson, 1977) and has been avoided here.

- (6) An organization's success depends heavily on its ability to adopt to its environment—or to find a favorable environment in which to operate—as well as on its ability to the popel two their voice in the arganization, conduct its transformative processes, and manage its operations (Katz & Kahn, 1937). These "System needs" do not accessively correspond to the interests or priorities of top management (see "How to Choose Effectivenees: Criteria" isless; in this chainter.
 - (7) Any herd or autic within an organization can be rewell as a system. So fix the model has only been applied to the total organization, but a mayor drivision or branch within an organization can also be viewed as a system howeigal of the elements and features mentioned above. Even a single department or work group within a department can be realized as a subsystem embedded within the larger systems. The broader organizational conditions shape the operations of such substants that of no fulfilly determine them.

Using the System Model

The open system model provides practitioners with a comprehensive yet flexible guide to examining the main features of an organization, and understanding their relationships.

Base organizational information. Drawing on the model (and on Censition, 1972, p. 55-59), we generated the following list of base information about a client organization for subunit) to gather at the beganing of a diagnosis. After obtaining the overview provided by this information, consultants can decide what topics, if any, they want to study in pearter depth. The basic information that is most readily available inhoments of the provided by the provided provided by the provided by the provided by the provided by contracting to conduct a diagnosis.

Basic Organizational Information

- (1) Outputs—main products or services, volume of sales, production, services delivered etc., human "outputs" (indications of satisfaction and commitment such as absenteersm, turnover).
- (2) Purposes—official statements of goals and mission, actual priorities as indicated by budget allocations to divisions, programs (e.g., percentage of budget allocated to research and development).
- (3) Juyan Tinancial assets, capital assets including real exists physical pint, equipment femoust, condition e.g., age, degree of observapint, equipment femoust, condition e.g., age, degree of observaces, state of repair revenues and allocations from funding sources to g., for public agencies, human resources—nambers of employees by job category social and educational backgrounds, training and persons experience.

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(2

Legitimacy

Competitive/

Impact on

Environment

Adaptiveness

Innovativeness

Fit

Strategic Position

TABLE 2 Effectiveness Criteria

	Type	Operational Definitions			
)	Output Goals				
	Goal Attainment	Success/Failure (e.g., rocket launching)			
	Quantity of Outputs	Productivity (units produced, hours of services provided, values of sales, services sometimes per work unit or per time period) profits (revenues minus costs), revenues as percentage of investment, percentage of target group reached by services, messages			
	Quality of Outputs	Number of rejects, returns, complaints, clent, castioner sansfaction, expert rating of services (e.g., in health education) or work performance (e.g., in manufacturing, military), impact of services or products on target population (e.g., impact of antilitter campaign).			
")	Internal System State				
	Costs of Production or Services	Efficiency (ratio of output value to costs— e.g., labor, equipment—with constant quality), wastage, downtime			
	Human Outcomes	Employee satisfactions with pay, working conditions and relationships; motivation (disposition to work), work effort (observed, reported), low absenteess, lateness, and turnover health and safety of workforce			
	Consensus/Conflict	Agreement on goals and procedures, cohe- sion (munial attraction, and identification with work group, and organization); cooperation (reported/observed) within and between units; few strikes, work stoppages, disputes, and feuds.			
	Work and Informa- tion Flows	Smooth flow of products, ideas and informa- tion, few snags, foul-ups, insunderstandings, neh, multidirectional communication, accurate analysis of information.			

Interpersonal Relations	High levels of trust, open communicat feelings, needs between ranks, de-empl of status differences.
Participation	Subordinates participate in making do affecting them, diffusion of power and authority
Fit	Compatibility of requirements of system elements
(3) Adaptation and Reson	urce Position
Resources-quantity	Size of organization (employees, cash, cal assets); resource flows (e.g., investing grants and budget support in nonprofi- organizations).
Resources-quality	Human capital (experience, and trainin employees); desirability of clients (e.g., tiveness of college admissions), reputat staff

college).

Support and approval by community a public bodies, public image, complian with standards of legal, regulatory,

professional bodies (e.g., government p

Market share, ranking among competi

size, volume of business, reputation wi the field or industry; full use of capaci exploit external opportunities

Ability to shape demand, government

Adjustment to changes in inputs and demands for outputs, flexibility in har crises, surprises.

Number, quality of new products, serv procedures, incorporation of new technologies, management practices.

Compatibility of internal system eleme with requirements, constraints of environment

behavior of competitors, suppliers.

Type	Operational Definitions
Output Goals	
Goal Attainment	Success/Failure (e.g., rocket launching).
Quantity of Outputs	Productivity (units produced, hours of services provided, values of seles, services—sometimes per work unit or per time periodi; profits (revenues minus costs); revenues as percentage of investment, percentage of larget group reached by services, messages.
Quality of Outputs	Number of rejects, returns, complaints, client, costoner satisfaction, expert rating of services (e.g., in health education) or work performance (e.g., in manufacturing, utilizary), impact of services or products on target population (e.g., impact of antilittee campaign).
Internal System State	
Costs of Production or Services	Efficiency (ratio of output value to costs— e.g., labor, equipment—with constant quality); wastage, downtime
Human Outcomes	Employee satisfactions with pay, working conditions and relationships, motivation (disposition to work), work effort (observed, reported), low absenteesm, lateness, and nimover, health and safety of workforce
Consensus/Conflict	Agreement on goals and procedures, cohe- sion insutual attraction, and identification with work group, and organization), cooperation (reported/observed) within and between units, few strikes, work stoppages, disputes, and feuds.
Work and Informa- tion Flows	Smooth flow of products, ideas and informa- tion; few snags, foul-ups, insunderstandings, rich, multidirectional communication, accurate analysis of information.

	Interpersonal Relations	High levels of trust, open communication of feelings, needs between ranks; de-emphasis of status differences.			
	Participation	Subordinates participate in making decision affecting them; diffusion of power and authority			
	Fit	Compatibility of requirements of system elements.			
(3)	Adaptation and Resource Position				
	Resources-quantity	Size of organization (employees, cash, physical assets), resource flows (e.g., investment, grants and budget support in nonprofit organizations).			
	Resources-quality	Human capital (experience, and training of employees), desirability of clients (e.g., selec- tiveness of college admissions); reputation of staff.			
	Legitimacy	Support and approval by community and public bodies, public image, compliance with standards of legal regulatory, professional bodies (e.g., government pollu- tion control standards, accreditation of college).			
	Competitive/ Strategic Position	Market share, ranking among competitors is size, volume of business, reputation within the field or industry, full use of capacities is exploit external opportunities.			
	Impact on Environment	Ability to shape demand, government actor behavior of competitors, suppliers.			
	Adaptiveness	Adjustment to changes in inputs and demands for outputs, flexibility in handling crises surprises			
	Innovativeness	Number, quality of new products, services, procedures, incorporation of new technologies, management practices.			
	Fut	Compatibility of internal system elements with requirements, constraints of environment			

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THE EFFECTS OF HIFRARCHICAL INTER ORGANIZATIONAL RELATIONSHIPS ON ORGANIZATIONAL PERFORMANCE.

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Melissa J. Sticci

A dissertation submitted in partial fulf*!.ment of the requirements for the degree of Doctor of Philosophy
(Psychology)
in the University of Michigan

Doctoral Committee

Professor Jeffrey A. Alexander, Co-chair Associate Professor Thomas A. D'Aumo, Co-chair Associate Professor Mark B. B., ker Professor Richard H. Price

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LITERATURE REVIEW ON H TORS

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Background: Hierarchical Inter-Organizational Relationships

The dominant theoretical perspectives for examining interval, a thoral relieve inposing exchange theory, the recovered by the service of the mand White 1961, Cook, 1977, Pfeffer and Salancik, 1978, Provin, 1984, Oliver, 1990). Both the relieve transfer to the resolution of the distribution of the relieve transfer theory, organizations seek formalized relationships with other convenience to the relieve transfer to access resources needed to realize respective goods or objectives (Levin and White, 1961). Thus, exchange behavior is the focus of this theory, defined as voluntary activities between two organizations which has consequences, actual or

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broad set of environmental and organizational factors render particular types of restanships more appropriate than others (Cook, 1983, Ga. isk.ewicz, 1985, Oliver, 1991)

The breadth of exchange and resource dependence theory post of ficulties when exall atting the effects of a specific type of JOR. Many of the benefits that are discussed on the specific type of JOR. Many of the benefits that are discussed on the specific type of JOR. Many of the benefits that are discussed on the specific type of typ

The type of IOR of interest in this study is a hierarchical interorganizational of supul IORs. And I, OR manages are superior for the supul interest of the supul interest of the supul interest of the supul interest of more subset day organization. These arrangements permit geographically dispersed to the supul interest by a darget supul intere

An H-IOR differs from a hierarchical organization or cerucally integrated and Anapader-Habid, 1987). Subsidiary organizations can affiling the with an H-IOR on the bash of manager all or leasing contracts, or corporate sponsorship. This allows is absidiated by substances for the result of peraturous and in the amplication of the direction over the rown internal operaturous and in the amplication of the directions established by the CMO. Thus, while subsidiary organizations are coordinated from the top down, con plete authority and control is not relinquished to the CMO (Provan, 1984, longer, 1987).

The fact that subsid ary organ zations relain some control in an H-IOR is a

discretive feature of the relationship. Because control is held at the level of the CMO and each subsidiary organization, this structure represents a combination of centralized and decertifal zed control. In the or thest of an H-OR, central xed control is achieved in the hierarchical relationship between a subsidiary organization and its CMO. A CMO and the hierarchical relationship between a subsidiary organization and its CMO. A CMO and a subsidiary of the subsidiary of the subsidiary of the subsidiary of the subsidiary.

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of centralized and decentralized covirol can establish a cheex and balance system to minimize medificiencies that are associated with oo lective structures that are on terror or contralized or overly decentral zed. If successfully managed, H-I/ORs, may you, de subsidiary organizations with greater capacity to manage interval operations and environmental pressures, thi match improving their perfer manage.

Emproving control over internal operations through H-IORs

Cost leadership refers to an organization's ability to operate as a low-cost

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of organizations that is centrally controlled tends to manage organizational units by

Note that the second of the se

Differentiation refers to an organization's ability to enhance its uniqueness in a given market (Porter, 1986). An organization can differentiate itself from other or a more aging a metable existing and HOR. Differentiate itself from other than a series a large greater and flags useful acquires in a factor of other CMO. In the case by recognized that a dissolution, which were compared to the control of the case by recognized that a dissolution of the case by recognized that a case by recognized the case of the case by recognized that a series of the case of the ca

Focus refers to an organization's ability to select a resource domain and tuitor is a first and the select and

activities, such as personnel recrustment and training, thereby allowing subsidiary organizations to focus on daily operating and strategies a med at increasing market share (Fin an and Gable, 1984)

, toying the minagement of environmental pressures through H. ORs

A local state of the second of

One set of pressures experienced by subsidiary organizations are technical (DIII). 1958, Scott, 1987. These pressures refer to factors (e.g., resource scarcing) that can provide a property of the control of the contr

The second set of environmental pressures is institutional. Institutional of the control of the

Organizations demonstrate their legitimes to other organizations in the strong form of the strong form of the strong form of the strong form of the strong form in th

as a legitimate actor (Scott, 1987, Suchman, 1994). Thus, a CMO is considered legitimate within the institutional environment. Given that legit make organizations are thought to only affiliate with other legitimate organizations. a CMO can conferent organizations as a CMO can conferent organization should be added to the action of the a

The importance of decentral zation in an H IOR

The concentration of control in a collective arrangement of organizations does possess Emiliations. Centralized control can involve the loss of autonomy and the control can involve the loss of autonomy and the control can involve the loss of autonomy and the control can be controlled to the control can be controlled to the control can be controlled to the controlled to

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First subsidiary organ sitions can retain discretion over internal operations and remain committed to their own individual interests. This allows them to modify one-committed to their own individual needs and interests. For example, an organization can modify their own individual needs and interests. For example, an organization can modify

strategic and managerial decisions determined by the CMO to support its own effort to specialize in a given market despite the specializes of other subsidiary organizations.

Second, discretion over titlernal operations enables each subsidiary organics in to adapt to pressures specific to their own local environments. Subsidiary organizations face unique pressures in the close a environment. Thus, the ability to respond to distinct the index of the close a environment. Thus, the ability to respond to distinct the index of the close in the close of the continuity and not entered to communities in general.

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Forth, the performance of all sabs duay organizations can be enhanced when each operates as a fairly a anonomous organization. Subsidiary organization with consumers and autonomy and independence are more likely to maintain relations with consumers and the construction of the construction of the construction are important for the organization as well as the CMO. Strong tres with the community or consumers enable subsidiary organizations and the CMO to have greater insight about the needs of key constituents and better capabilities to develop strategie plans that satisfy such needs.

Some literature has acknowledged that the effects of IORs are differentiated. For the little state of the explicit in the effects of IORs (Cook 1977 Present 184) Organization in the activity of the effects of the eff

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While there is evidence that organizational characteristics can moderate the

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W. Richard Scott

Annual Review of Sociology, Vol. 1 (1975), pp. 1-20

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